

## Regarding “Arbitrary” Elements...

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Recall that for all integers  $n$ , we denote the set of positive integers less than or equal to  $n$  as  $[n]$ . When writing proofs (particularly inductive ones), it is crucial to keep in mind which items are arbitrary and which items are chosen/constructed by you. In the example question below, we illustrate how mixing this up will lead to an incorrect proof. First, let us define our question.

*Example Question:*

For  $n \in \mathbb{Z}^+$ , let  $T_n$  denote the set of trees with vertex set  $[n]$ . Let  $T = \bigcup_{n=1}^{\infty} T_n$ .

For  $t \in T$ ,  $t$  is “happy” if and only if  $\exists v \in \mathbb{Z}^+ . (\{v, v+1\} \text{ is an edge of } t)$ .

For  $n \in \mathbb{Z}^+$ , let  $P(n)$  denote the predicate “Every tree in  $T_n$  is happy”.

Prove or disprove:  $\forall n \in \mathbb{Z}^+ . [(n \geq 2) \text{ IMPLIES } P(n)]$ .

Here’s an incorrect student solution. Try to locate the mistake while reading it.

*(Incorrect) Student Solution:*

Let’s prove that  $\forall n \in \mathbb{Z}^+ . [(n \geq 2) \text{ IMPLIES } P(n)]$  using induction.

**BASE CASE:**  $P(2)$

The only tree in  $T_2$  is the tree consisting of vertices 1 and 2 and the edge  $\{1, 2\}$ . As the edge  $\{1, 2\}$  is present in this tree, the tree is happy, so  $P(2)$  is true.

**INDUCTION STEP:**

Let  $k \in \mathbb{Z}^+$  such that  $k \geq 2$ . Assume  $P(k)$  is true. We will show  $P(k+1)$  is true.

Let  $t \in T_k$  be given.

Let an arbitrary  $w \in [k]$  be given.

Create tree  $t'$  by adding the vertex  $k+1$  and the edge  $\{w, k+1\}$  to  $t$ .

As  $P(k)$  holds,  $t$  must be happy, so there exists  $h \in \mathbb{Z}^+$  such that  $\{h, h+1\} \in t$ .

By construction,  $t$  is a subtree of  $t'$ . Thus,  $\{h, h+1\} \in t'$ .

Since  $t'$  was constructed from an arbitrary  $w$  and  $t$ ,  $t'$  is an arbitrary tree in  $T_{k+1}$ .

Thus,  $P(k+1)$  holds.

Thus, by induction,  $\forall n \in \mathbb{Z}^+ . [(n \geq 2) \text{ IMPLIES } P(n)]$ .

Did you find the mistake? If you’d like to find it for yourself, stop reading ahead for now.

The mistake is rather simple:  $t'$  is not an *arbitrary* tree from  $T_{k+1}$  despite being constructed by an arbitrary  $t$  from  $T_k$  and connecting the vertex  $k+1$  to an arbitrary existing vertex. As the student was the one who made  $t'$ ,  $t'$  is a *construction of the student*.

Why does this matter?

Take an arbitrary  $k \in \mathbb{Z}^+$  such that  $k \geq 2$ . For  $t \in T_k$  and  $w \in [k]$ , let  $c(t, w)$  denote the tree formed by adding vertex  $k+1$  and edge  $\{w, k+1\}$  to  $t$ . Let  $C_k = \{c(t, w) \mid t \in T_k, w \in [k]\}$ .

We know that  $C_k \subseteq T_{k+1}$ , but is it necessarily true that  $C_k = T_{k+1}$ ? If  $C_k \neq T_{k+1}$ , since we only showed that the trees in  $C_k$  are happy, there may still be trees that are not happy in  $T_{k+1}$ . This would mean that  $P(k+1)$  is not true.

This, in fact, is the case with this proof. Briefly consider the case where  $k = 2$ . Then, the following tree is an element of  $T_{k+1}$ :

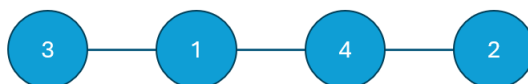


When creating the tree  $t'$ , only one edge is added to  $t$ . Thus, the student cannot add both  $\{1, 3\}$  and  $\{2, 3\}$  to  $t$ . This implies that the tree above is not an element of  $C_k$  and so  $C_k \neq T_{k+1}$ .

When proving with induction, it is important to make sure that you consider all instances in each “step”. In our example, this is showing that for all  $k \in \mathbb{Z}^+$  such that  $k \geq 2$ ,  $C_k = T_{k+1}$ . Typically, the best approach is to take an arbitrary instance of the “(k+1)-th step” and to find a way to apply the induction hypothesis on a component of the structure. An exception to this rule of thumb is when you prove using structural induction on recursively defined objects.

Still not convinced the student is wrong? Here’s a correct solution.

*Solution:* Observe that the following tree is an element of  $T_4$ .



As this tree is not happy,  $P(4)$  does not hold, so the statement is false.